## MED Institute, Inc. - Website Notice of Certification Under the E.U.-U.S. Privacy Shield Framework Effective 4/30/2017

MED Institute, Inc. has certified its adherence, and complies with, the E.U.-U.S. Privacy Shield Framework as set forth by the U.S. Department of Commerce, with respect to the collection, use, and retention of personal information transferred from the European Union to the United States.

For additional information about the E.U.-U.S. Privacy Shield, or to view our Privacy Shield certification on file with the U.S. Department of Commerce, please visit https://www.privacyshield.gov/.

<u>Scope</u>: MED Institute's Privacy Shield certification confirms our adherence to the Privacy Shield framework for E.U. personal information transferred to the U.S., in relation to: clinical research, patients, human resources, customers, and suppliers. MED Institute limits its collection, processing and storage of personal information to situations where it has a legitimate business interest in the information.

<u>Third Party Transfers</u>: In certain situations, MED Institute entrusts personal information pertaining to E.U. individuals to third-party partners who assist us with our business activities, or who have regulatory or legal oversight responsibilities in relation to those business activities. Those third parties may include, for example, research sponsors who retain MED Institute to perform clinical research activities on their behalf, other organizations (such as the research sites or regulatory authorities) involved in research, third parties assisting with IT security or storage activities, and those that may assist with any customer, human resource, or supplier activities that encompass E.U. individuals. When E.U. personal information is entrusted to third parties, MED Institute takes steps to ensure that the third parties entrusted with personal information uphold an equivalent level of protection for the data, and understands that we can be held responsible if our business partners entrusted with E.U. personal information violate those obligations.

Disputes: In compliance with the Privacy Shield principles, MED Institute commits to resolve complaints about our collection or use of E.U. personal information. E.U. individuals with inquiries or complaints should regarding the Privacy Shield policies or practices first contact dataprotectioneurope@cookmedical.com or call us at +353 61 334440 to speak with the company's Data Protection Officer. You may also write to us at: Cook Ireland Ltd., O'Halloran Road, National Technological Park, Castletroy, Limerick, Ireland, or at MED Institute, Inc., 1330 Win Hentschel Blvd, Suite 100, West Lafayette, IN 47906. In accordance with our Privacy Shield commitments, MED Institute has adopted dispute resolution practices that are designed to evaluate and resolve any complaints or concerns about your privacy and its collection or use of your personal information within forty-five (45) days of receipt.

<u>Third Party Dispute Resolution</u>: MED Institute has committed to cooperate with the E.U. supervisory authorities (SAs) with regard to unresolved Privacy Shield complaints, for all types of E.U. personal information encompassed within our Privacy Shield certification. If you do not receive timely acknowledgment of your complaint from us, or if we have not not addressed your complaint to your satisfaction, you have the right to contact the E.U. supervisory authorities for more information or to file a complaint. The services of E.U. supervisory authorities are provided at no cost to you.

<u>Right to Binding Arbitration</u>: Under limited circumstances and in accordance with the Privacy Shield framework, E.U. individuals may be able to invoke binding arbitration before a Privacy Shield Panel.

<u>Regulatory Oversight and Enforcement</u>: MED Institute is also subject to the investigatory and enforcement authority of the U.S. and E.U. agencies who oversee the Privacy Shield framework. Those agencies are the U.S. Federal Trade Commission and the relevant European supervisory authorities. Individuals also have a right to file a complaint with those oversight agencies, particularly if they believe their complaint was not satisfactorily resolved through the company.

<u>Rights of Individuals to Access Their Data</u>: E.U. individuals have the right to access personal information about them, and to limit the use and disclosure of their personal information. MED Institute has committed to respect and uphold those rights. Should you wish to exercise those rights, the company requests that you contact <u>dataprotectioneurope@cookmedical.com</u> or call +353 61 334440 to speak with our Data Protection Officer. You may also write to us at: Cook Ireland Ltd., O'Halloran Road, National Technological Park, Castletroy, Limerick, Ireland, or at MED Institute, Inc., 1330 Win Hentschel Blvd, Suite 100, West Lafayette, IN 47906. Please note that there are certain limitations on these rights, as described in the Privacy Shield framework.

<u>Law Enforcement Requests</u>: MED Institute is required to disclose personal information in response to lawful requests by public authorities, including compliance with national security or law enforcement requirements.

<u>Conflicts</u>: If there is any conflict between the terms in this privacy policy and the Privacy Shield Principles, the Privacy Shield Principles will govern.